Atty Docket No: 56732/0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: CANNER et al.

U.S. Serial No.:

09/975,689

Filed:

October 11, 2001

For:

ASSESSMENT SYSTEM AND METHOD

MAR 1 4 2002

RECEIVED

**Technology Center 2100** 

Examiner:

To be assigned

Group Art Unit: 2171

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the Postal Service as first class mail in an envelope assistant Commissione for Patents Washington, D.C. addressed to:

aplan Reg. No. 38,094

## INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant respectfully submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The references cited herein were cited in the PCT International Search Report for corresponding international application number PCT/US01/31855. A copy of the International Search Report mailed January 23, 3002 is enclosed. Copies of the listed reference are also enclosed for the convenience of the Examiner. Applicants respectfully request that the listed references be made of record in this patent application.

The above papers are being submitted within three (3) months of receipt of the Search Report. Accordingly, each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this information disclosure statement.

Although no fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement, the Commissioner is hereby authorized to charge any additional fees to Deposit Account No. 50-0540. A duplicate copy of this communication is enclosed for charging purposes. Early and favorable action is earnestly solicited.

Respectfully submitted,

Date: March 4, 2002

Jonathan S. Caplan

Reg. No. 38,094

Kramer Levin Naftalis & Frankel LLP

919 Third Avenue

New York, N.Y. 10022

(212) 715-9100